## FORM PTO-1449 (MODIFIED)

## LIST OF PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT



Applicant(s): J.H. Connell et al. Docket No.: YOR920030166US1

Serial No.: 10/601,350 Filing Date: June 23, 2003

Group: 2644

TIC	PATENT	DOCIN	TENTS
U.D.	EALENI	DUCUN	

EXAMINER				FILING DATE
INITIAL_	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS IF APPROPRIATE
AAA 1.	6,594,629	07/15/03	Basu et al.	704/251
AAU 2.	6,219,640	04/17/01	Basu et al.	704/246

## FOREIGN PATENT DOCUMENTS

EXAMINER					TRANS	LATION
INITIAL	DOCUMENT NO.	DATE	 COUNTRY	CLASS/SUBCLASS	YES	, NO

## OTHER DOCUMENTS

**EXAMINER** 

INITIAL REF NO. AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

1. G. Potamianos et al., "Hierarchical Discriminant Features for Audio-Visual LVCSR," Proceedings of ICASSP 2001, 4 pages.

2. C.R. Rao, "Linear Statistical Inference and Its Applications," John Wiley and Sons, New York, pp. 476-490, 1965.

3. J.R. Deller, Jr. et al., "Discrete-Time Processing of Speech Signals," Prentice Hall, pp. 677-699 and 745-759, 1987.

4. A. Gersho et al., "Vector Quantization and Signal Compression," Kluwer Academic Publishers, pp. 309-337 and 345-367, 1992.

5. A. Papoulis, "Probability, Random Variables, and Stochastic Process," McGraw-Hill Publishing Company, pp. 30, 46-48 and 73-74, 1984.

6. H.L. van Trees, "Detection, Estimation, and Modulation Theory," Part I, John Wiley & Sons, pp. 23-46, 1968.

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

## FORM PTO-1449 (MODIFIED)

# LIST OF PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT



Applicants: J.H. Case: YO

J.H. Connell et al. YOR920030166US1 10/601,350

Serial No.: Filing Date:

June 23, 2003

Group: 2644

### **U.S. PATENT DOCUMENTS**

EXAMINER	•				FILING	DATE
INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	IF APPR	OPRIATE
<u>AAA</u> 1	. 2003/0018475	01/23/03	Basu et al			
		FOR	EIGN PATENT DOCUMENTS			· · · · · ·
<b>EXAMINER</b>					TRANSL	ATION
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES	NO

#### OTHER DOCUMENTS

#### **EXAMINER**

INITIAL REF NO. AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

1. A. Garg et al., "Frame-Dependent Multi-Stream Reliability Indicators for Audio-Visual Speech Recognition," Proceedings of the International Conference on Acoustics, Speech and Signal Processing, (ICASSP), Vol. I, pp. 24-27, April 2003.

2. A. Rogozan et al., "Adaptive Fusion of Acoustic and Visual Sources for Automatic Speech Recognition," Speech Communication 26, Elsevier Science Publishers, Vol. 26, No. 1-2, pp. 149-161, October 1998.

3. J.H. Connell et al., "A Real-Time Prototype for Small-Vocabulary Audio-Visual ASR," Proceedings of the International Conference on Multimedia and Expo (ICME), Vol. II, pp. 469-472, July 2003.

angela a. aunstrong

Considuel on: 5/13/05